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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:  
Robert E. Smith III  
Serial No.: 10/826,883  
Filed: April 16, 2004  
For: **Junction Plate For Subsea Hydraulic Couplings**

Customer No.: **29855**  
Group Art Unit: **3753**  
Examiner: unk  
Phone: unk  
Dkt. No.: **221-0078US**

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA, 22313-1450.

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b), or that such information constitutes prior art.

In accordance with 37 C.F.R §§ 1.97(e), (1), each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or (2) no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of

this Information Disclosure Statement. No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement. However, the Commissioner is authorized to deduct any necessary fees from Deposit Account No. 501922 referencing attorney docket no. 221-0078US.

Applicants respectfully request that the listed documents be considered and made of record in the present case, and that the Examiner initial the appropriate spaces on the Form 1449 to evidence the same.

Respectfully submitted,

  
Christopher D. Keirs  
Reg. No. 32,248  
Attorney for Applicant

5 Oct 2005

Date

**Customer No. 29855**

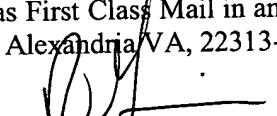
WONG, CABELLO, LUTSCH,  
RUTHERFORD & BRUCCULERI, LLP  
20333 State Highway 249  
Suite 600  
Houston, Texas 77070  
832/446-2400  
832/446-2424 (facsimile)

**CERTIFICATE OF MAILING**  
**37 § C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria VA, 22313-1450, on the date below.

10.5.2005

Date

  
Rebecca R. Ginn

Form PTO-1449 (modified)		Atty. Docket No. <b>221-0078</b>	Serial No. <b>10/826,883</b>
<b>List of Patents and Publications for Applicant's</b> INFORMATION DISCLOSURE STATEMENT Title: Junction Plate for Subsea Hydraulic Couplings (Use several sheets if necessary)			
		Inventor/Applicant <b>Robert E. Smith III</b>	
		Filing Date: <b>April 16, 2004</b>	
		Group: <b>3753</b>	
<b>U.S. Patent Documents</b> <i>See Page 1</i>		Foreign Patent Documents <i>See Page 1</i>	
		Other Art <i>See Page 1</i>	

### U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1						
	A2						
	A3						
	A4						
	A5						

### Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	GB 2 372 303	08/21/2002	UK	F16L	39/00	
	B2						
	B3						
	B4						
	B5						

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Search Report received in co-pending patent application number GB0507305.1, dated July 28, 2005.
	C2	
	C3	
	C4	

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

**INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)**